



Attorney Docket No.: 005-010

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : SEPETKA et al.

Art Unit : 3731

Serial No. : 10/055,714

Examiner : Kevin Thao Truong

Filed : January 22, 2002

Title : SYSTEMS, METHODS AND DEVICES FOR REMOVING OBSTRUCTIONS  
FROM A BLOOD VESSEL

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

RECEIVED

SEP 03 2003

TECHNOLOGY CENTER R3700

INFORMATION DISCLOSURE STATEMENT

A copy of the reference listed on the attached form PTO-1449 is enclosed.

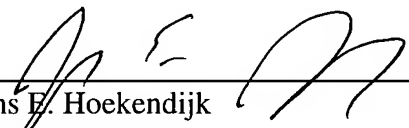
This filing is being made prior to receipt of a substantive Office Action therefore no fee is currently due.

Please apply any appropriate charges or credits to Deposit Account No. 50-1247.

Respectfully submitted,

Date: August 26, 2003

Jens E. Hoekendijk  
HOEKENDIJK & LYNCH, LLP  
P.O Box 4787  
Burlingame, CA 94011-4787  
Tel.: 415-412-3322  
Fax: 650-871-7676

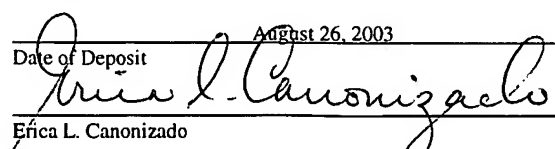
  
Jens E. Hoekendijk  
Reg. No. 37,149

CERTIFICATE OF MAILING BY FIRST CLASS MAIL

I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Date of Deposit

August 26, 2003

  
Erika L. Canonizado

Substitute Form PTO-1449  
(Modified)U.S. Department of Commerce  
Patent and Trademark OfficeAttorney's Docket No.  
005-010Application No.  
10/055,714**Information Disclosure Statement  
by Applicant**

(Use several sheets if necessary)

Applicant  
SEPETKA et al.Filing Date  
January 22, 2002Group Art Unit  
3731

U.S. Patent Documents							
Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
	AA	5,895,398	04/20/1999	WENSEL et al.			
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						

RECEIVED

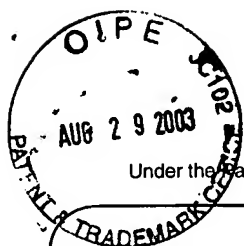
SEP 03 2003

TECHNOLOGY CENTER R3700

Foreign Patent Documents or Published Foreign Patent Applications								
Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation	
							Yes	No
	AL							
	AM							
	AN							
	AO							
	AP							

Other Documents (include Author, Title, Date, and Place of Publication)		
Examiner Initial	Desig. ID	Document
	AQ	
	AR	
	AS	
	AT	

Examiner Signature	Date Considered
EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	



PTO/SB/21 (6-98)

Approved for use through 09/30/2000. OMB 0651-0031  
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**TRANSMITTAL  
FORM**

(to be used for all correspondence after initial filing)

Application Number	10/055,714
Filing Date	January 22, 2002
First Named Inventor	SEPETKA et al.
Group Art Unit	3731
Examiner Name	Kevin Thao Truong
Attorney Docket Number	005-010

Total Number of Pages in this Submission

3

**ENCLOSURES (check all that apply)**

- ☐ Fee Transmittal Form
- ☐ Fee Attached
- ☐ Amendment / Response
  - ☐ After Final
  - ☐ Affidavits/declaration(s)
- ☐ Extension of Time Request
- ☐ Express Abandonment Request
- ☒ Information Disclosure Statement
- ☐ Certified Copy of Priority Document(s)
- ☐ Response to Missing Parts/  
Incomplete Application
  - ☐ Response to Missing  
Parts under 37 CFR  
1.52 or 1.53

- ☐ Assignment Papers  
(for an Application)
- ☐ Drawings
- ☐ Licensing-related Papers
- ☐ Petition Routing Slip (PTO/SB/69)  
and accompanying Petition
- ☐ Petition to Convert to a  
Provisional Application
- ☐ Power of Attorney, Revocation  
Change of Correspondence  
Address
- ☐ Terminal Disclaimer
- ☐ Small Entity Statement
- ☐ Request for Refund

- ☐ After Allowance Communication  
to Group
- ☐ Appeal Communication to Board  
of Appeals and interferences
- ☐ Appeal Communication to Group  
(Appeal Notice, Brief, Reply Brief)
- ☐ Proprietary Information
- ☐ Status Letter
- ☒ Additional Enclosure(s)  
(please identify below):

1 cited reference  
confirmation postcard**RECEIVED**  
SEP 03 2003

Remarks

TECHNOLOGY CENTER R3700

**SIGNATURE OF APPLICANT, ATTORNEY OR AGENT**

Firm or Individual name	Jens E. Hoekendijk, Reg. No. 37,149
Signature	
Date	August 26, 2003

**CERTIFICATE OF MAILING OR TRANSMISSION**I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to **Commissioner for Patents, Arlington, VA 22313-1450** on this date: August 26, 2003

Name (Print/Type)	Erice L. Canonizado
Signature	
Date	August 26, 2003

**Burden Hour Statement:** This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. Send fees and completed forms to the following address: Commissioner for Patents, P.O. Box 1450, Arlington, VA 22313-1450.